

Sector 9  
#3



35C.12088

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
FUMIAKI ITO, ET AL. ) Examiner: Not Yet Known  
Appln. No.: 08/863,047 ) Group Art Unit: 2312  
Filed: May 23, 1997 )  
For: DOCUMENT PROCESSING )  
METHOD, SYSTEM AND )  
STORAGE MEDIUM FOR )  
DOCUMENT PROCESSING ) December 22, 1997  
PROGRAMS )

Box Missing Part  
The Assistant Commissioner for Patents  
Washington, D.C. 20231

**ATTENTION: APPLICATION PROCESSING DIVISION, SPECIAL  
PROCESSING AND CORRESPONDENCE BRANCH**

RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION - FILING DATE GRANTED

Sir:

In response to the NOTICE TO FILE MISSING PARTS OF  
APPLICATION - FILING DATE GRANTED mailed October 22, 1997  
enclosed please find an executed Declaration/Power of  
Attorney form, together with the surcharge fee of \$130.00.

03/11/1998 AGRAY1 00000002 DAN:061205 08863047  
01 FC:115 110.00 CH

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first-class mail in an envelope addressed  
to: Commissioner of Patents and Trademarks, Washington, D.C. 20231  
on December 22, 1997  
(Date of Deposit)


LAURA A. BAUER  
(Name of Attorney for Applicant)  
Laura A. Bauer December 22, 1997  
Signature Date of Signature

Also enclosed is a copy of the NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED.

The Assistant Commissioner is authorized to charge  
any additional fees or credit any overpayment to Deposit  
Account No. 06-1205. A duplicate copy of the document is  
attached for that purpose.

Applicants' undersigned attorney may be reached in  
our New York office by telephone at (212) 758-2400. All  
correspondence should continue to be directed to our address  
given below.

Respectfully submitted,



Attorney for Applicants

Registration No. 29,767

FITZPATRICK, CELLA, HARPER & SCINTO  
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OCT 28 1997

UNITED STATES  
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DEPARTMENT OF COMMERCE

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PATENTS AND TRADEMARKS

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NO./TITLE

09/963,047

05/23/97

ITO

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35, 41, 2088



0232/1022

FITZPATRICK CELLA HARPER & SCINTO  
277 PARK AVENUE  
NEW YORK NY 10172

FILE NO. 37/2088

ATTORNEY SIGNED UPD

DUE DATE 12/22/97

DATE MAILED:

BOOKETED 10/28/97md

10/22/97

### NOTICE TO FILE MISSING PARTS OF APPLICATION

*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for a ☐ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

*If all required items on this form are filed within the period set above, the total amount owed by applicant as a*

☐ large entity ☐ small entity (verified statement filed), is \$ 130

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.  
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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